

07-11-01

A

ATTORNEY DOCKET NO. 101960-200
page 1 of 3

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date July 9, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL662087595US to the Commissioner for Patents, Washington, DC 20231.

Elizabeth M. Swift

Name of Person Mailing Paper

Elizabeth M. Swift

Signature of Person Mailing Paper

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53(b)

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of:

Inventor(s): **Morris C. Buenemann, Jr.**
Jack D. Dippold
Brian Mravic
Howard Muldrow
Peter W. Robinson

For: **STEEL BALLISTIC SHOT AND PRODUCTION METHOD**

1. Type of Application

☐ Original ☐ Continuation ☐ Divisional ☒ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. § 119)

- ☐ The new application being transmitted claims the benefit of:
☐ prior foreign application serial no. _____, filed on _____ in
_____ (country); or
☐ PCT international application designating at least one country other than the U.S.
application serial no. _____, filed on _____.

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. § 120 and §119(e))

☒ The new application being transmitted claims the benefit of prior copending U.S. applications:

Serial No. 60/117,735, filed on January 29, 1999; and
Serial No. 09/329,475, filed on June 10, 1999.

07/09/01
JC639 U.S. PTO

J1017 U.S. PTO
09/01849
07/09/01

09/01849-070901

4. Papers Enclosed Which Are Required for Filing Under 37 C.F.R. § 1.53(b)

| | |
|----|---|
| 21 | pages of Specification |
| 6 | pages of Claims, having <u>35</u> claims, including <u>5</u> independent and <u>30</u> dependent claims |
| 1 | page of Abstract |
| 10 | sheets of [X] formal [] informal Drawings |

5. Additional Papers Enclosed

- ☐ Preliminary Amendment
☒ Information Disclosure Statement
☒ Form PTO-1449
☐ Copies of Cited Art
☐ Priority Document and Certified Copy
☐ Form PTO-1595 (Assignment Recordation)

6. Declaration

An Unsigned Declaration is: [X] enclosed [] not enclosed.

7. Assignment

An Assignment is: [] enclosed [X] not enclosed

- [] Enclosed is a recordation form and an assignment of the invention to _____, a corporation of the _____.

8. Small Entity Status

[] Applicant claims Small Entity status.

9. Fee Calculation (37 C.F.R. § 1.16) -

| CLAIMS AS FILED OR AS AMENDED BY ANY ENCLOSED PRELIMINARY AMENDMENT | | | | | |
|---|--------------|-------|--------------|----------|--------------------|
| | Number Filed | Minus | Number Extra | Rate | Basic Fee \$710.00 |
| Total Claims | 35 | -20 | 15 | \$18.00 | \$270.00 |
| Independent claims | 5 | -3 | 2 | \$80.00 | \$240.00 |
| Multiple Dependent claims, if any: | | | | \$270.00 | 0 |
| Total | | | | | \$1220.00 |
| Reduction for small entity by 50% | | | | | 0 |
| TOTAL FILING FEE | | | | | \$1220.00 |

10. Method of Payment of Fees

☐ Check in the amount of \$ _____

☒ Charge Account No. 23-1665 in the amount of \$ **1220.00**.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any deficiencies or additional fees which may be required under 37 C.F.R. 1.16, 1.17, and 1.18, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to:

Customer Number 27267:



27267

PATENT TRADEMARK OFFICE

William B. Slate, Esq.

(Name of Attorney)

Signature of Attorney

Reg. No. 37,238

WIGGIN & DANA
P. O. BOX 1832
NEW HAVEN, CT 06508-1832
Tel. No. (203) 498-4373

Date: July 9, 2001